

Carey W. King Financial Disclosure Items

Date: 21-Feb-16

Category	Description (see comment for exclusions)	Payment amount				Trip Purpose or Payment Purpose	Sponsor/Organizer	Destination	Duration	Principal Address of Source of Payment
		\$0 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$19,999	\$20,000 - \$100,000 by increments of \$20,000					
PAYMENTS RECEIVED FROM OR EQUITY INTEREST IN A PUBLICLY TRADED ENTITY:										
1.1 Personal Investment Account or personal Roth IRA										
1.1.1	Common stock: Dr. Pepper Snapple (DPS), estimated value of shares held from 2/19/16		X							
1.1.2	Common stock: Berkshire Hathaway (BRK.B), estimated value of shares held on 2/19/16			X						
1.1.3	Common stock: Raytheon (RTN), estimated value of shares held on 2/19/16	X								
1.1.4	Common stock: Ryan Air (RYAAY), estimated value of shares held on 2/19/16		X							
1.1.5	Common stock: ABB Ltd. (ABB), estimated value of shares held on 2/19/16		X							
1.1.6	Common stock: American Water Works Ltd. Co. (AWK), estimated value of shares held on 2/19/16			X						
1.1.7										
1.2 University of Texas Optional Retirement Program:										
1.2.1	no investments in single companies									
PAYMENTS RECEIVED FROM A NON-PUBLICLY TRADED ENTITY OR EQUITY INTEREST IN A NON-PUBLICLY TRADED ENTITY:										
2.1 None in last 12 months as of 2/19/16										
2.2										
2.3										
3 INTELLECTUAL PROPERTY AND ROYALTIES										
<i>Carey King holds no intellectual property rights owned and no royalty income agreements. Carey is author of the patents listed, but has no agreements for royalties associated with those patents and does not own the rights to those patents.</i>										
3a	United States Patent 8,320,036 B2. A Normally Emitting Pixel Architecture for Frustrated Total Internal Reflection Displays. Kevin Derichs, Carey King , Daniel van Ostrand, Berry Cox, Reed Killion. Issued February 26, 2010. Also as patents: CN102187263A, EP2326981A1, US20100079843, WO2010022384A1.									
3b	United States Patent 7,817,332. Electromechanical dynamic force profile articulating mechanism, October 19, 2010. King; Carey (Austin, TX). Assignee: Uni-Pixel Displays, Inc. (The Woodlands, TX) Appl. No. 12/050,045.									
3c	United States Patent 7,564,874. Optical microstructures for light extraction and control, February 3, 2009. King; Carey (Austin, TX). Assignee: Uni-Pixel Displays, Inc. (The Woodlands, TX) Appl. No. 11/201,220: Filed: August 10, 2005.									
3d	United States Patent 7,486,854. Optical microstructures for light extraction and control, February 3, 2009. Van Ostrand; Dan (The Woodlands, TX), King; Carey (Austin, TX), Gobeil; Garth (Albuquerque, NM). Assignee: Uni-Pixel Displays, Inc. (The Woodlands, TX) Appl. No.: 11/338,251 Filed: January 24, 2006.									
3e	United States Patent 7,449,759. Electromechanical dynamic force profile articulating mechanism, November 11, 2008. Selbrede; Martin G. (The Woodlands, TX), King; Carey (Austin, TX), Van Ostrand; Dan (Round Rock, TX). Assignee: Uni-Pixel Displays, Inc. (The Woodlands, TX) Appl. No.: 11/215,514 Filed: August 30, 2005.									
4 TRAVEL (preceding 12 months)										
	Stanford Global Climate and Energy Project Workshop on the topic of Net Energy. Invited speaker and participant with flight and lodging reimbursed	X				Invited speaker an participant in workshop	Stanford Global Climate and Energy Project	Palo Alto, CA	3/31/15-4/2/15	Global Climate & Energy Project Jerry Yang & Akiko Yamazaki Environment & Energy Building — 4230 473 Via Ortega Stanford, CA 94305
4.2	Attend NSF workshop on "Enabling Resiliency in Energy, Water, and Food Systems for Society"	X				Invited participant in workshop	University of Arizona	Tucson, AZ (Biosphere 2)	4/15/15-4/17/15	Administration 601, P.O. Box 210066, Tucson, AZ 85721-0077
4.3	Registration was paid for by AIChE to attend and present at the 2015 Spring Meeting in Austin, TX	X				Invited speaker at a panel sessionn of the conference	American Institute of Chemical Engineers	Austin, TX	4/26/15-4/30/15	American Institute of Chemical Engineers (AIChE), 120 Wall Street, 23rd Floor, New York, NY 10005
4.4	Travel, lodging, and registration was paid for by American Nuclear Society to attend and present at the 2015 Fall Meeting in Washington, DC	X				Invited speaker at a panel sessionn of the conference	American Nuclear Society	Washington, DC	10/9/15-10/10-15	American Nuclear Society 555 North Kensington Avenue La Grange Park, Illinois 60526
4.5	Attend NSF workshop on "Food, Energy, Water Nexus"	X				Invited speaker and attendee	University of Maryland	College Park, MD	10/25/15-10/28/15	University of Maryland, College Park, MD 20742
5 GIFTS										
5.1	Research Gift			X		Research	Cynthia and George Mitchell Foundation	Austin, TX	10/1/15-10/1/16	--
5.2	Research Gift	X				Research	Roger Duncan	Austin, TX	Donated December 2015	N/A
6 FIDUCIARY POSITIONS										
<i>No fiduciary positions held during the last 12 months from August 31, 2014 through September 1, 2015.</i>										
Sec. 2.03. Disclosure of Financial Interests of Other Persons: Covered Family Member										
<i>Neither Carey King nor his covered family members have any interest or control in a trust or foundation</i>										